

PTO/SB/21 (04-04)

HW 2186

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/626,049
Filing Date	July 23, 2003
First Named Inventor	Mutsumi Hosoya
Art Unit	2186
Examiner Name	Unassigned
Attorney Docket Number	16869P-079400US

Total Number of Pages in This Submission

**ENCLOSURES (Check all that apply)**

- |   |  |   |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts<br>under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a<br>Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication<br>to Technology Center (TC)<br><input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC<br>(Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please<br>identify below):<br>USPTO form SB08A (one page), copies of 14<br>cited references, Return Postcard |
|---|--|---|

Remarks	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.
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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Townsend and Townsend and Crew LLP Chun-Pok Leung	Reg. No. 41,405
Signature		
Date	9-14-04	

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Roger Hylton		
Signature		Date	9/14/04



PATENT  
Attorney Docket No.: 16869P-079400US  
Client Reference No.: 310201611US1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Mutsumi Hosoya

Application No.: 10/626,049

Filed: July 23, 2003

For: HIGH-AVAILABILITY DISK  
CONTROL DEVICE AND FAILURE  
PROCESSING METHOD THEREOF  
AND HIGH-AVAILABILITY DISK  
SUBSYSTEM

Examiner: Unassigned

Art Unit: 2186

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of cited references 5, 14, 15, and 18 were previously submitted as part of a Petition to Make Special filed August 2, 2004 for the present application. Copies of the other references listed on the attached form PTO/SB/08A are enclosed..


It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.

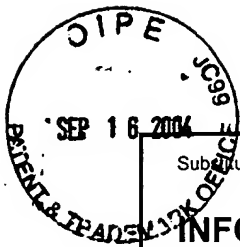
However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Chun-Pok Leung  
Reg. No. 41,405

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RL:rnh  
60304257 v1



<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	10/626,049		
		Filing Date	July 23, 2003		
		First Named Inventor	Mutsumi Hosoya		
		Art Unit	2186		
		Examiner Name	Unassigned		
Sheet	1	of	1	Attorney Docket Number	16869P-079400US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	1	2004/0103244	05-27-2004	Fujimoto et al.	
	2	2003/0229757	12-11-2003	Hosoya et al.	
	3	2003/0204683	10-30-2003	Okumoto et al.	
	4	2003/0182516	09-25-2003	Fujimoto	
	5	2003/0084237	05-01-2003	Yoshida et al.	
	6	2003/0046460	03-06-2003	Inoue et al.	
	7	6,353,898	03-05-2002	Wipfel et al.	
	8	6,338,101	01-08-2002	Kakimi	
	9	2001/0047482	11-29-2001	Harris et al.	
	10	2001/0047514	11-29-2001	Goto et al.	
	11	2001/0032324	10-18-2001	Slaughter et al.	
	12	2001/0004754	06-21-2001	Murayama	
	13	5,724,501	03-03-1998	Dewey et al.	
	14	5,724,542	03-03-1998	Taroda et al.	
	15	5,615,330	03-25-1997	Taylor	
	16	5,386,551	01-31-1995	Chikira	
	17	4,710,926	12-01-1987	Brown et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	18	JP	09-198308	A	07-31-1997	Hitachi Ltd.		<input checked="" type="checkbox"/>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.  
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